

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) r 1.97(c))**Docket No.  
**YOR920010009US3**  
**(13323ZY)**

In Re Application Of:

**Peter A. Franaszek, et al.**

Serial No.

**10,492,376**

Filing Date

**September 26, 2003**

Examiner

**Unknown**

Group Art Unit

**Unknown****Title: VERY HIGH SPEED PAGE OPERATIONS IN INDIRECT ACCESSED MEMORY SYSTEMS**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450****37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
YOR920010009US3

In Re Application: Peter A. Franaszek, et al.

JAN 07 2004

Serial No.

10/672,376

Filing Date

September 26, 2003

Examiner

Unknown

Group Art Unit

Unknown

## VERY HIGH SPEED PAGE OPERATIONS IN INDIRECT ACCESSED MEMORY SYSTEMS

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0510/IBM as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Steven Fischman

Registration No. 34,594

Correspondence Address

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## Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on January 5, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Dated: January 5, 2004

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Peter A. Franaszek, et al.

**Docket:** YOR920010009US3 (13323ZY)

**Serial No.:** 10/672,376

**Examiner:** Unknown

**Filed:** September 26, 2003

**Art Unit:** Unknown

**For:** VERY HIGH SPEED PAGE  
OPERATIONS IN INDIRECT  
ACCESSED MEMORY SYSTEMS

**Dated:** January 5, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

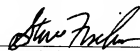
1. U.S. Patent No. 6,401,181, issued June 4, 2002 to Franaszek, et al.;
2. U.S. Patent No. 6,247,105, issued June 12, 2001 to Goldstein, et al.;
3. U.S. Patent No. 5,991,775, issued November 23, 1999 to Beardsley, et al.;
4. U.S. Patent No. 5,864,536, issued January 26, 1999 to Franaszek;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 5, 2004.

Dated: January 5, 2004

  
Steven Fischman

5. U.S. Patent No. 5,812,816, issued September 22, 1998 to Franaszek;
6. U.S. Patent No. 5,802,341, issued September 1, 1998 to Kline, et al.;
7. U.S. Patent No. 5,784,699, issued July 21, 1998 to McMahon, et al.;
8. U.S. Patent No. 5,761,536, issued June 2, 1998 to Franaszek;
9. U.S. Patent No. 5,628,023, issued May 6, 1997 to Bryant, et al.;
10. U.S. Patent No. 5,491,808, issued February 13, 1996 to Geist, Jr.;
11. U.S. Patent No. 4,121,286, issued October 17, 1978 to Venton, et al.;  
and
12. Franaszek, et al., "Research Report – Design and Analysis of Internal Organizations for Compressed Random Access Memories", Computer Science/Mathematics, IBM Research Report RC 21146 (94535), October 28, 1998, pp. 1-30.

Pursuant to 37 C.F.R. §1.98(d), copies of the references 2-12 listed on the enclosed Form PTO-1449 are not provided since they were previously made of record in parent application, U.S. Patent No. 6,401,181 issued June 4, 2002 and in U.S. Serial No. 09/795,414 filed February 28, 2001.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Respectfully submitted,



Steven Fischman  
Registration No. 34,594

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

YOR920010009US3 (13323ZY)

Application Number

10/672,376

Applicant(s)

Peter A. Franaszek, et al.

Filing Date

September 26, 2003

Group Art Unit

Unknown

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,401,181	06/04/02	Franaszek, et al.			
		6,247,105	06/12/01	Goldstein, et al.			
		5,991,775	11/23/99	Beardsley, et al.			
		5,864,536	01/26/99	Franaszek			
		5,812,816	09/22/98	Franaszek			
		5,802,341	09/01/98	Kline, et al.			
		5,784,699	07/21/98	McMahon, et al.			
		5,761,536	06/02/98	Franaszek			
		5,628,023	05/06/97	Bryant, et al.			
		5,491,808	02/13/96	Geist, Jr.			
		4,121,286	10/17/78	Venton, et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Franaszek, et al., "Research Report - Design and Analysis of Internal Organizations for Compressed Random Access Memories", Computer Science/Mathematics, IBM Research Report RC 21146 (94535), October 28, 1998, pp. 1-30.

EXAMINER

/Brian Peugh/

DATE CONSIDERED

12/10/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.